

Lecture – 37

Neuro-Adaptive Design – II: *A Robustifying Tool for Any Design*

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Motivation

- Perfect system modeling is difficult
- Sources of imperfection
 - Unmodelled dynamics (missing algebraic terms in the model)
 - Inaccurate knowledge of system parameters
 - Change of system parameters/dynamics during operation
- “Black box” adaptive approaches exist. But, making use of existing design is better!
(faster adaptation, chance of instability before adaptation is minimal)
- The adaptive design should preferably be compatible with “any nominal control” design

Reference

Radhakant Padhi, Nishant Unnikrishnan and S. N. Balakrishnan,
“Model Following Neuro-Adaptive Control Design for Non-square, Non-affine Nonlinear Systems”, IET Control Theory and Applications, Vol. 1 (6), Nov 2007, pp.1650-1661.

Modeling Inaccuracy: A Simple Example

$$\dot{x} = 2 \sin(x) + 0.1 \sin(x)$$

Known part of
actual system
(nominal system)

Unknown part
of actual
system

$$= 2 \sin(x) + \Delta c \sin(x)$$

Weight

Basis Function

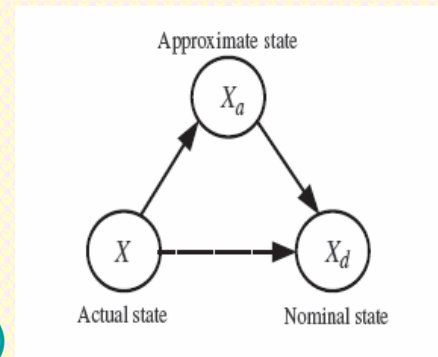
Objective:

To increase the robustness of a “nominal controller” with respect to parameter and/or modeling inaccuracies, which lead to imperfections in the system model.

Problem Description and Strategy

- Desired Dynamics: $\dot{X}_d = f(X_d, U_d)$

- Actual Plant: $\dot{X} = f(X, U) + d(X)$
(unknown)



- Goal:

$$X \rightarrow X_d, \text{ as } t \rightarrow \infty$$

- Approximate System: $\dot{X}_a = f(X, U) + \hat{d}(X) + K_a (X - X_a)$
($K_a > 0$)

- Strategy:

$$X \rightarrow X_a \rightarrow X_d, \text{ as } t \rightarrow \infty$$

NN Approx.

Steps for assuring

$$X_a \rightarrow X_d$$

- Select a gain matrix $K > 0$ such that

$$\dot{E}_d + K E_d = 0, \quad E_d \triangleq (X_a - X_d)$$

- This leads to

$$\left\{ f(X, U) + \hat{d}(X) + K_a (X - X_a) \right\} - f(X_d, U_d) + K (X_a - X_d) = 0$$

$$f(X, U) = \left\{ f(X_d, U_d) - \hat{d}(X) - K_a (X - X_a) - K (X_a - X_d) \right\}$$

$$\text{i.e. } f(X, U) = h(X, X_a, X_d, U_d)$$

- Solve for the control U

Control Solution:

(No. of controls = No. of states)

- **Affine Systems:** $f(X) + [g(X)]U = h(X, X_d, X_a, U_d)$

$$U = [g(X)]^{-1} \{ h(X, X_d, X_a, U_d) - f(X) \}$$

- **Non-affine Systems:** $f(X, U) = h(X, X_d, X_a, U_d)$

Use Numerical Method

(e.g. N-R Technique)

$$(U_{guess})_k = \left\{ \begin{array}{l} U_d : k = 1 \\ U_{k-1} : k = 2, 3, \dots \end{array} \right\}$$

Control Solution:

(No. of controls < No. of states)

- Modify X_a dynamics:

$$\begin{aligned}\dot{X}_a &= f(X, U) + \left[\hat{d}(X) - \Psi(X)U_s \right] + \Psi(X)U_s + K_a(X - X_a) \\ &= f(X, U) + \hat{d}_a(X) + \Psi(X)U_s + K_a(X - X_a)\end{aligned}$$

- Solve for the control from:

$$\left\{ f(X, U) + \hat{d}_a(X) + \Psi(X)U_s + K_a(X - X_a) \right\} - f(X_d, U_d) + K(X_a - X_d) = 0$$

$$\begin{aligned}f(X, U) + \Psi(X)U_s &= \left\{ f(X_d, U_d) - \hat{d}_a(X) - K_a(X - X_a) - K(X_a - X_d) \right\} \\ &= h(X, X_a, X_d, U_d)\end{aligned}$$

Solution for affine systems: (No. of controls < No. of states)

$$\{f(X) + g(X)U\} + \Psi(X)U_s = h(X, X_a, X_d, U_d)$$

$$f(X) + \begin{bmatrix} g(X) & \Psi(X) \end{bmatrix} \begin{bmatrix} U \\ U_s \end{bmatrix} = h(X, X_a, X_d, U_d)$$

$$G(X) V = -f(X) + h(X, X_a, X_d, U_d)$$

$$V = [G(X)]^{-1} \{-f(X) + h(X, X_a, X_d, U_d)\}$$

Extract U from V

For simplicity, we will not consider this special case in our further discussion.

Steps for assuring

$$X \rightarrow X_a$$

- **Error:** $E_a \triangleq (X - X_a)$, $e_{a_i} \triangleq (x_i - x_{a_i})$
- **Error Dynamics:**

$$\dot{x}_i = f_i(X, U) + d_i(X)$$

$$\dot{x}_{a_i} = f_i(X, U) + \hat{d}_i(X) + k_{a_i} e_{a_i}$$

$$\dot{e}_{a_i} = \dot{x}_i - \dot{x}_{a_i}$$

$$= [d_i(X) - \hat{d}_i(X)] - k_{a_i} e_{a_i}$$

$$= \{W_i^T \Phi_i(X) + \varepsilon_i\} - \hat{W}_i^T \Phi_i(X) - k_{a_i} e_{a_i}$$

$$\dot{e}_{a_i} = \tilde{W}_i^T \Phi_i(X) + \varepsilon_i - k_{a_i} e_{a_i}$$

Ideal neural network

$$d_i(X) = W_i^T \varphi_i(X) + \varepsilon_i$$

Actual neural network

$$\hat{d}_i(X) = \hat{W}_i^T \varphi_i(X)$$

$$(\tilde{W}_i \triangleq W_i - \hat{W}_i)$$

Stable Function Learning

Lyapunov Function Candidate

$$L_i = \frac{1}{2} (p_i e_{a_i}^2) + \frac{1}{2\gamma_i} (\tilde{W}_i^T \tilde{W}_i) \quad (p_i, \gamma_i > 0)$$

Derivative of Lyapunov Function

$$\begin{aligned} \dot{L}_i &= p_i e_{a_i} \dot{e}_{a_i} + \frac{1}{\gamma_i} \tilde{W}_i^T \dot{\tilde{W}}_i \\ &= p_i e_{a_i} (\tilde{W}_i^T \Phi_i(X) + \varepsilon_i - k_{a_i} e_{a_i}) - \frac{1}{\gamma_i} \tilde{W}_i^T \dot{\tilde{W}}_i \quad (\because \tilde{W}_i \triangleq W_i - \hat{W}_i) \\ &= \tilde{W}_i^T \left(p_i e_{a_i} \Phi_i(X) - \frac{1}{\gamma_i} \dot{\tilde{W}}_i \right) + p_i e_{a_i} \varepsilon_i - k_{a_i} p_i e_{a_i}^2 \end{aligned}$$

$\rightarrow 0$

Stable Function Learning

Weight update rule (Neural network training)

$$\dot{\hat{W}}_i = \gamma_i p_i e_{a_i} \Phi_i(X)$$

Derivative of Lyapunov Function

$$\dot{L}_i = p_i e_{a_i} \varepsilon_i - k_{a_i} p_i e_{a_i}^2$$

$$\dot{L}_i < 0 \quad \text{if} \quad |e_{a_i}| > (|\varepsilon_i| / k_{a_i})$$

The system is “Practically Stable”

Neuro-adaptive Design: Implementation of Controller

Weight update rule:

$$\dot{\hat{W}}_i = \gamma_i p_i e_{a_i} \Phi_i, \quad \hat{W}_i(0) = 0$$

where, γ_i : Learning rate

$$e_{a_i} = x_i - x_{a_i}$$

Φ_i : Basis function

Estimation of unknown function:

$$\hat{d}(X) = \hat{W}^T \Phi_i$$

Neuro-adaptive Design: Implementation of Controller

- Desired Dynamics: $\dot{X}_d = f(X_d, U_d)$
- Actual Plant: $\dot{X} = f(X, U) + \overbrace{d(X)}^{(\text{unknown})}$

In reality, $X(t)$ should be available from sensors and filters!

- Approximate System:

NN Approximation

$$\dot{X}_a = f(X, U) + \hat{d}(X) + K_a (X - X_a), \quad K_a > 0 \text{ (pdf)}$$

- Initial Condition: $X_d(0) = X_a(0) = X(0)$: known

Example - 1

A Motivating Scalar Problem

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Example – 1: A scalar problem

- System dynamics
(nominal system)

$$\dot{x}_d = (x_d + x_d^2) + (1 + x_d^2)u_d$$

- System dynamics
(actual system)

$$\dot{x} = (x + x^2) + (1 + x^2)u + d(x)$$

$$d(x) = \sin(\pi x / 2)$$

- Problem objectives:

(unknown for control design)

* Nominal control design: $x_d \rightarrow 0$

* Adaptive control design: $x \rightarrow x_d$

Note: The objective $x \rightarrow x_d$ should be achieved much faster than $x_d \rightarrow 0$

Example – 1: A scalar problem

- Nominal control $(\dot{x}_d - 0) + (1/\tau_d)(x_d - 0) = 0$ ($\tau_d = 1$)
(dynamic inversion)

- Nominal control $u_d = -\left(1 + x_d^2\right)^{-1} \left(x_d + x_d^2 + x_d\right)$

- Adaptive control $u = \frac{1}{1 + x^2} \begin{bmatrix} (x_d + x_d^2) + (1 + x_d^2)u_d - k(x_a - x_d) \\ -(x + x^2) - \hat{d}(x) - k_a(x - x_a) \end{bmatrix}$

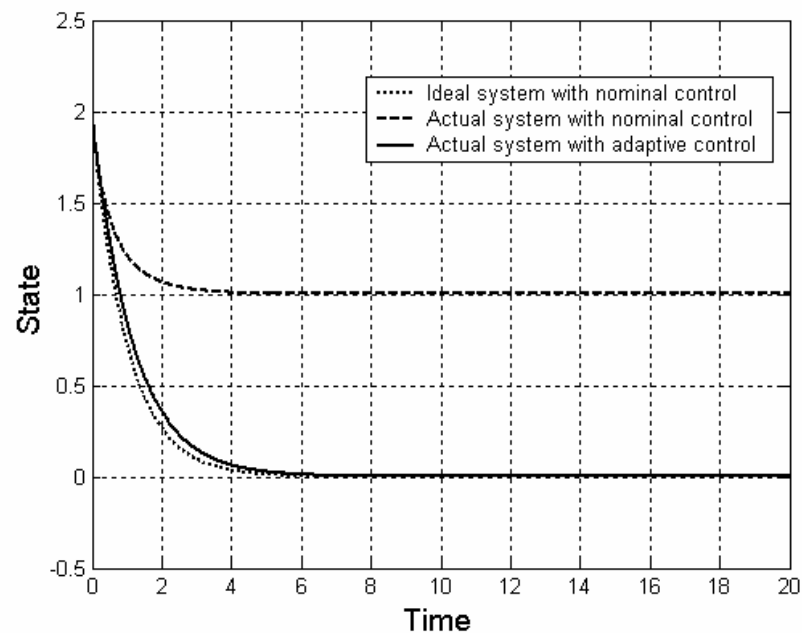
- Design parameters

$$k = 2.5 \quad k_a = 1 \quad p = 1 \quad \gamma = 30$$

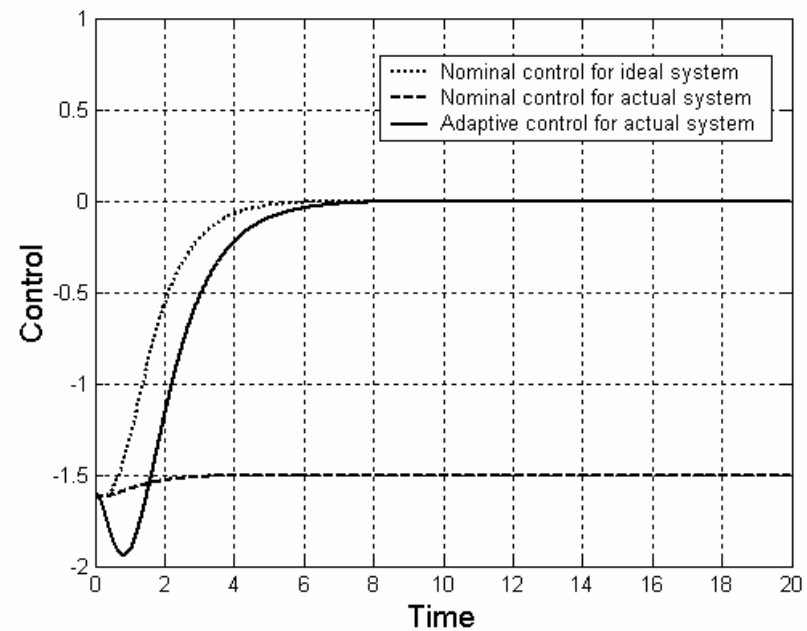
$$\Phi(x) = \left[(x/x_0) \quad (x/x_0)^2 \quad (x/x_0)^3 \right]^T$$

Example - 1: A scalar problem

State Trajectory

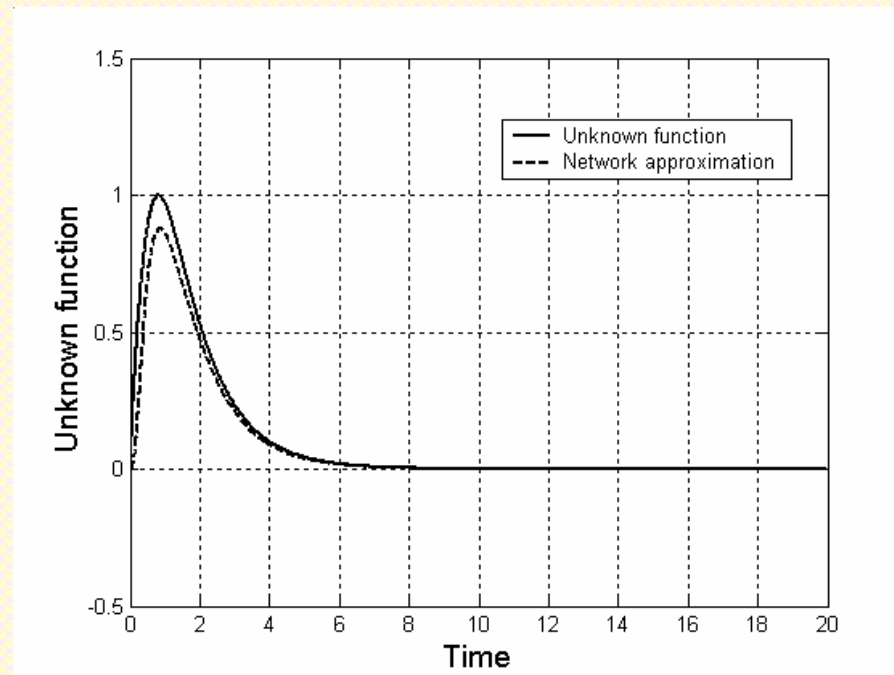


Control Trajectory



Example - 1: A scalar problem

Approximation of the unknown function



Example - 2

Double Inverted Pendulum: A Benchmark Problem

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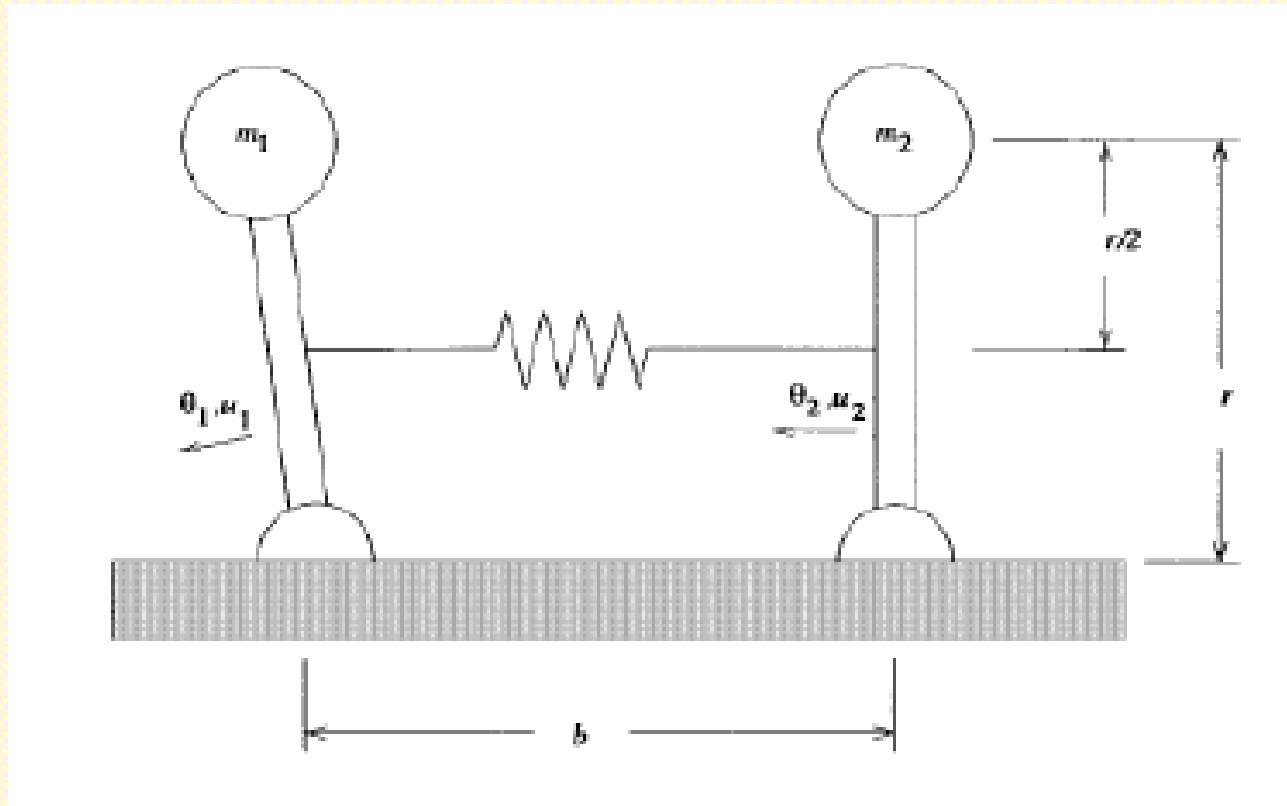
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Example - 2: Double inverted pendulum



Example - 2: Double inverted pendulum

- Nominal Plant:

$$\dot{x}_1^1 = x_1^2$$

$$\dot{x}_1^2 = \alpha_1 \sin(x_1^1) + \frac{kr}{2J_1}(l-b) + \left(\frac{u_{1\max}}{J_1}\right) \tanh(u_1) + \left(\frac{kr^2}{4J_1}\right) \sin(x_2^1)$$

$$\dot{x}_2^1 = x_2^2$$

$$\dot{x}_2^2 = \alpha_2 \sin(x_2^1) + \frac{kr}{2J_2}(l-b) + \left(\frac{u_{2\max}}{J_2}\right) \tanh(u_2) + \left(\frac{kr^2}{4J_2}\right) \sin(x_1^1)$$

- Actual Plant:

$$\dot{x}_1^1 = x_1^2$$

$$\dot{x}_1^2 = (\alpha_1 + \Delta\alpha_1) \sin(x_1^1) + \frac{kr}{2J_1}(l-b) + \frac{u_{1\max} \tanh(u_1)}{J_1} + \frac{kr^2}{4J_1} \sin(x_2^1) + K_{m1} e^{a_1 x_1^1}$$

$$\dot{x}_2^1 = x_2^2$$

$$\dot{x}_2^2 = (\alpha_2 + \Delta\alpha_2) \sin(x_2^1) + \frac{kr}{2J_2}(l-b) + \frac{u_{2\max} \tanh(u_2)}{J_2} + \frac{kr^2}{4J_2} \sin(x_1^1) + K_{m2} e^{a_2 x_2^1}$$

Example - 2: Double inverted pendulum

$$\alpha_i \triangleq \left(\frac{m_i g r}{J_i} - \frac{k r^2}{4 J_i} \right)$$

$$\beta_i \triangleq \frac{k r}{2 J_i} (l - b)$$

$$\xi_i \triangleq \frac{u_{i,\max}}{J_i}$$

$$\sigma_i \triangleq \frac{k r^2}{4 J_i}$$

System Parameter	Value	Units
End mass of pendulum 1 (m_1)	2	kg
End mass of pendulum 2 (m_2)	2.5	kg
Moment of inertia (J_1)	0.5	kg m ²
Moment of inertia (J_2)	0.625	kg m ²
Spring constant of connecting spring (k)	100	N/m
Pendulum height (r)	0.5	m
Natural length of spring (l)	0.5	m
Gravitational acceleration (g)	9.81	m/s ²
Distance between pendulum hinges (b)	0.4	m
Maximum torque input ($u_{1,\max}$)	20	Nm
Maximum torque input ($u_{2,\max}$)	20	Nm

Example - 2: Double inverted pendulum

- Parameters in unknown function

$$\Delta\alpha_1, \Delta\alpha_2 : 20\% \text{ off} \quad a_1 = a_2 = 0.01 \quad K_{m_1} = K_{m_2} = 0.1$$

- Control design parameters

$$K = 0.2 I_4, \quad K_a = I_4$$

$$\psi(X) = \begin{bmatrix} -10 & 10 & 0 & 0 \\ 10 & -10 & 10 & -10 \end{bmatrix}^T$$

$$p_2 = p_4 = 1$$

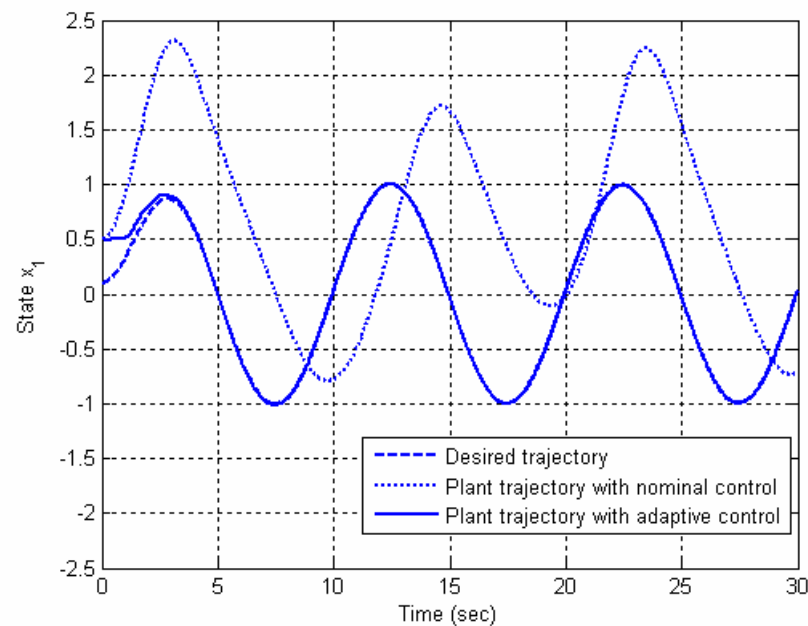
$$\Phi_2(X) = \left[1 \quad x_1/1! \quad \cdots \quad x_1^{17}/17! \quad 1 \quad x_2/1! \quad \cdots \quad x_2^{17}/17! \right]^T$$

$$\gamma_2 = \gamma_4 = 20$$

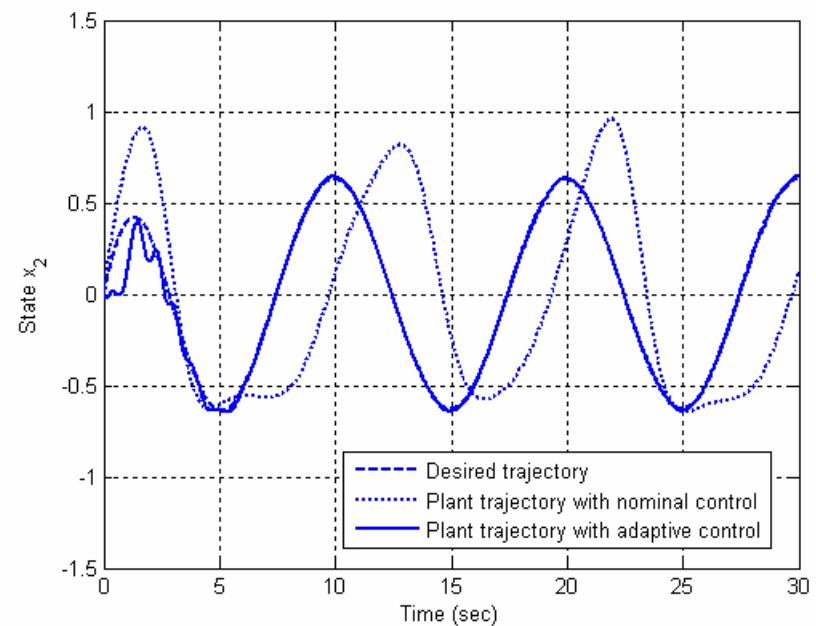
$$\Phi_4(X) = \left[1 \quad x_3/1! \quad \cdots \quad x_3^{17}/17! \quad 1 \quad x_4/1! \quad \cdots \quad x_4^{17}/17! \right]^T$$

Example - 2: Double inverted pendulum

Position of Mass - 1

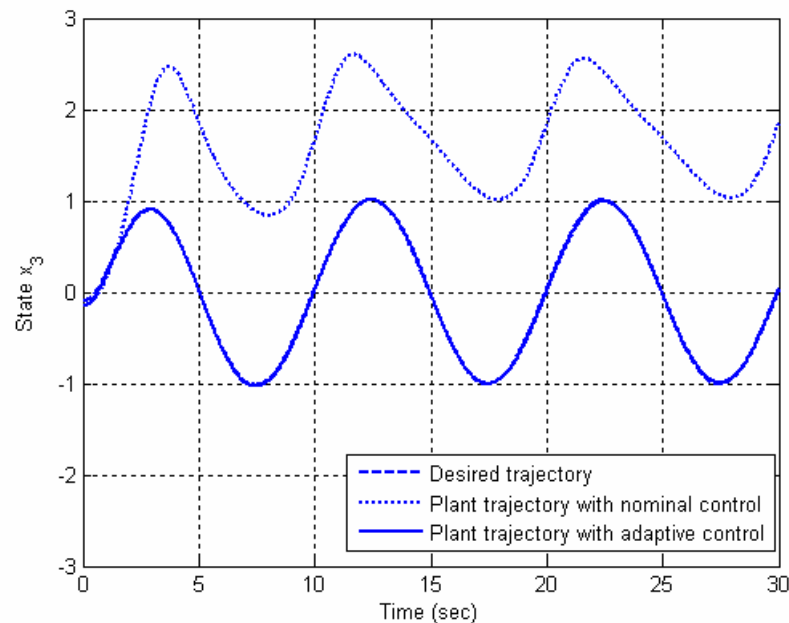


Velocity of Mass - 1

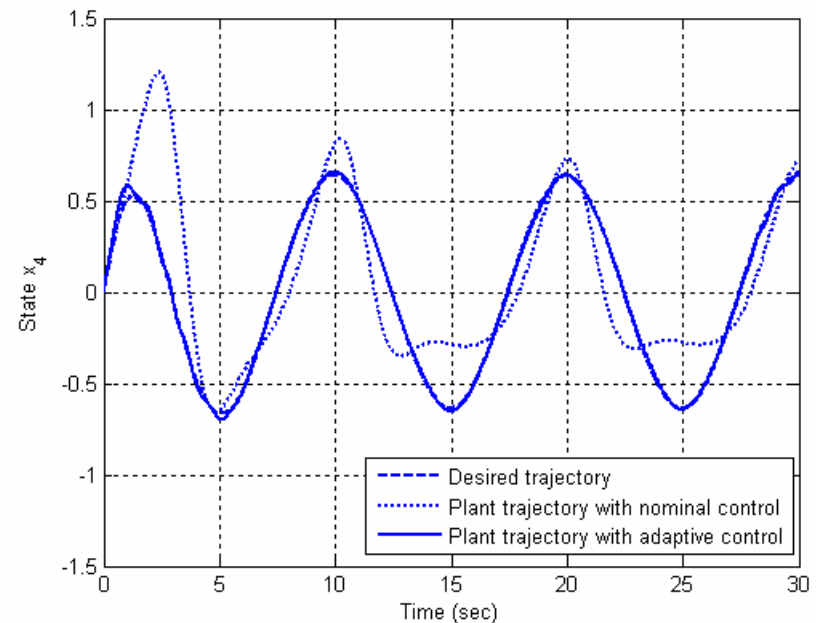


Example - 2: Double inverted pendulum

Position of Mass - 2

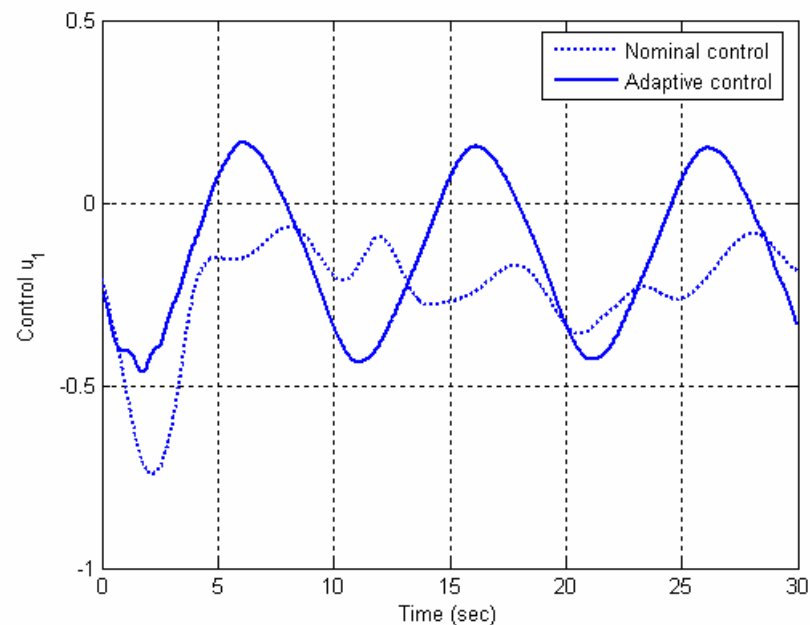


Velocity of Mass - 2

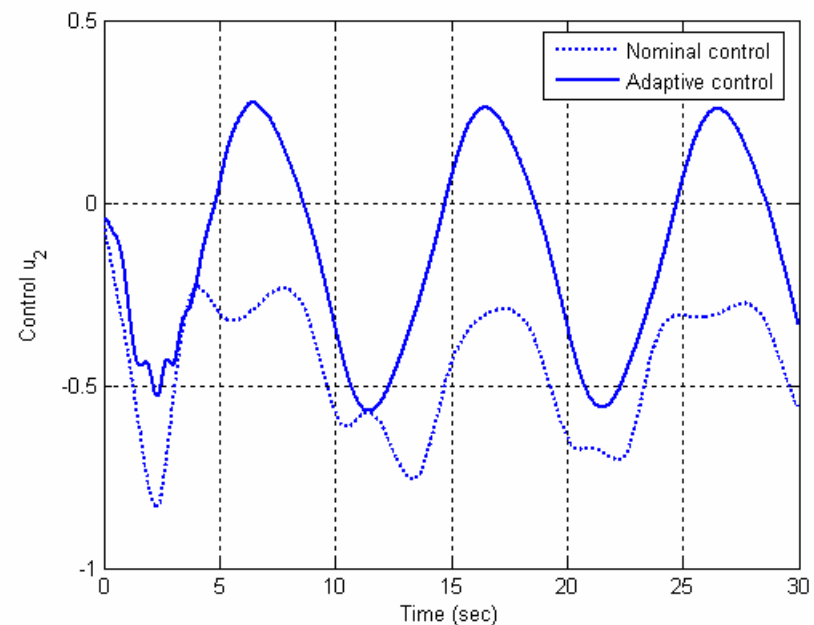


Example - 2: Double inverted pendulum

Torque for Mass - 1

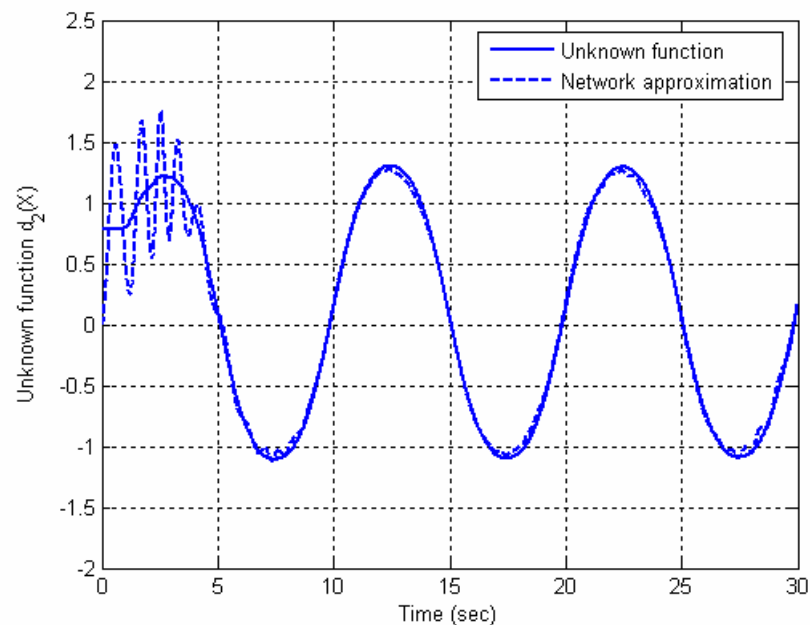


Torque for Mass - 2

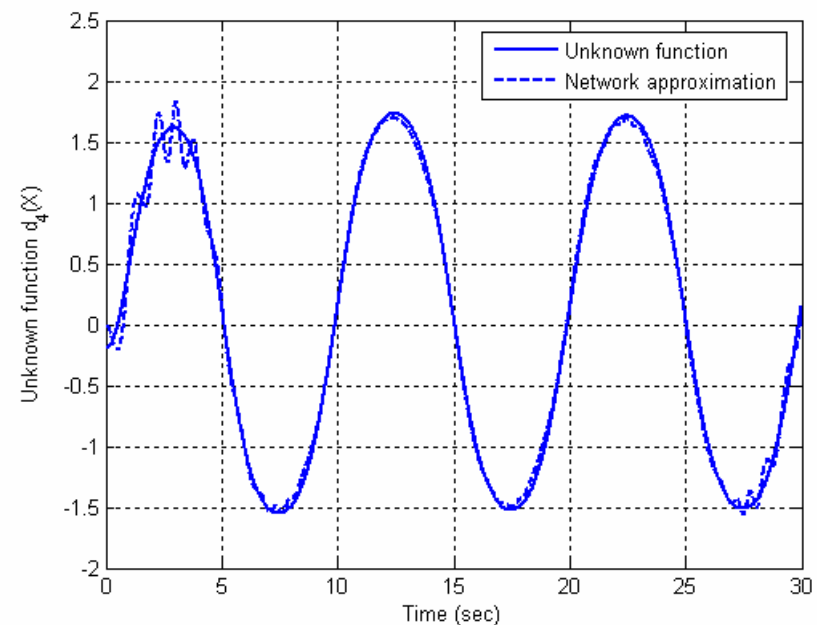


Example - 2: Double inverted pendulum

Capturing $d_2(X)$



Capturing $d_4(X)$



Neuro-Adaptive Design for Output Robustness

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N-A for Robustness of Output Dynamics

Desired output dynamics:

$$\dot{Y}_d = f_{Y_d}(X_d) + G_{Y_d}(X_d)U_d$$

Actual output dynamics:

$$\dot{Y} = f_{Y_d}(X) + G_{Y_d}(X)U + d(X)$$

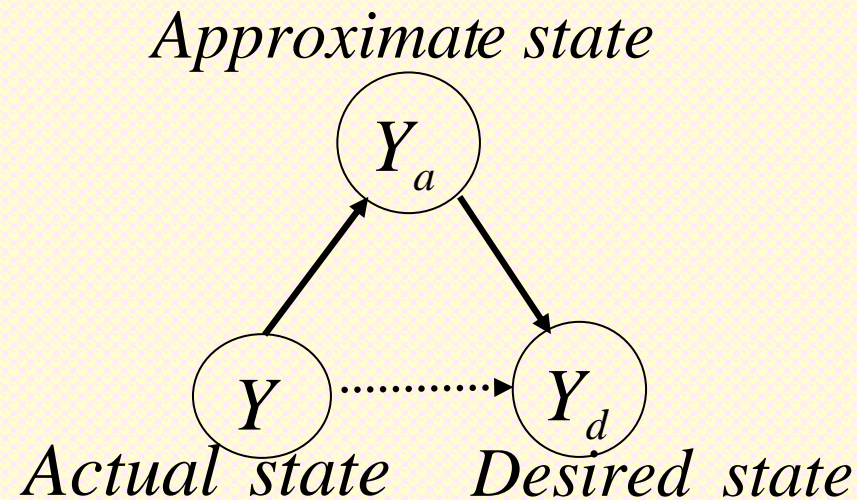
Objective: $Y \rightarrow Y_d$ as soon as possible

N-A for Robustness of Output Dynamics

- Dynamics of auxiliary output:

$$\dot{Y}_a = f_{Y_d}(X) + G_{Y_d}(X)U + \underbrace{\hat{d}(X)}_{\text{NN Approx.}} + K_a(Y - Y_a)$$

- **Strategy:**



Steps for assuring $Y_a \rightarrow Y_d$

- Enforce the error dynamics

$$\dot{E}_d + KE_d = 0 \quad E_d \triangleq (Y_a - Y_d)$$

- After carrying out the necessary algebra

$$f(X, U) = h(X, X_a, X_d, U_d)$$

- In case of control affine system

$$f(X) + [g(X)]U = h(X, X_d, X_a, U_d)$$

- The control is given by

$$U = [g(X)]^{-1} \{h(X, X_d, X_a, U_d) - f(X)\}$$

Steps for assuring $Y \rightarrow Y_a$

- The error in the output is defined as

$$E_a \triangleq (Y - Y_a) \quad e_{a_i} \triangleq (y_i - y_{ai})$$

- Ideal neural network is given by:

$$d_i(X) = W_i^T \varphi_i(X) + \varepsilon_i$$

where W_i is the weight matrix and $\varphi_i(X)$ is the radial basis function

Function Learning:

Define error $e_{a_i} \triangleq (y_i - y_{a_i})$

Output dynamics

$$\begin{aligned}\dot{y}_i &= f_{Y_i}(X) + g_{Y_i}(X)U + d_i(X) \\ \dot{y}_{a_i} &= f_{Y_i}(X) + g_{Y_i}(X)U + \hat{d}_i(X) + k_{a_i} e_{a_i}\end{aligned}$$

From universal function approximation property

$$\begin{aligned}d_i(X) &= W_i^T \varphi_i(X) + \varepsilon_i \\ \hat{d}_i(X) &= \hat{W}_i^T \varphi_i(X)\end{aligned}$$

Error dynamics

$$\begin{aligned}\dot{e}_{a_i} &= d_i(X) - \hat{d}_i(X) - k_{a_i} e_{a_i} \\ &= \tilde{W}_i^T \Phi_i(X) + \varepsilon_i - k_{a_i} e_{a_i}\end{aligned}$$

Lyapunov Stability Analysis

Lyapunov Function Candidate:

$$L_i = \frac{1}{2} (e_{a_i} p_i e_{a_i}) + \frac{1}{2} (\tilde{W}_i^T \gamma_i \tilde{W}_i)$$

Derivative of Lyapunov Function:

$$\begin{aligned} \dot{L}_i &= e_{a_i} p_i \dot{e}_{a_i} + \tilde{W}_i^T \gamma_i \dot{\tilde{W}}_i \\ &= e_{a_i} p_i \left[\tilde{W}_i^T \Phi_i(X) + \varepsilon_i - k_{a_i} e_{a_i} \right] - \tilde{W}_i^T \gamma_i \dot{\tilde{W}}_i \\ &= \tilde{W}_i^T \left[e_{a_i} p_i \Phi_i(X) - \gamma_i^{-1} \dot{\tilde{W}}_i \right] + e_{a_i} p_i \varepsilon_i - k_{a_i} e_{a_i}^2 p_i \end{aligned}$$

Weight Update Rule:

$$\dot{\tilde{W}}_i = \gamma_i e_{a_i} p_i \Phi_i(X, X_d)$$

Lyapunov Stability Analysis

This condition leads to $\dot{L}_i = e_{a_i} p_i \varepsilon_i - k_{a_i} e_{a_i}^2 p_i$

$\dot{L}_i < 0$ whenever $|e_{a_i}| > |\varepsilon_i| / k_{a_i}$

Using the Lyapunov stability theory, we conclude that the trajectory of e_{a_i} and \tilde{W}_i are pulled towards the origin.

Hence, the output dynamics is “Practically Stable”!

*Neuro-Adaptive Design with Modified Weight
Update Rule (with σ modification)*

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Neuro-adaptive Design with σ Modification

Weight update rule:

$$\dot{\hat{W}}_i = \gamma_i e_{a_i} \Phi_i - \gamma_i \sigma_i \hat{W}_i, \quad \hat{W}_i(0) = 0$$

where, γ_i : Learning rate, $\sigma_i > 0$: Stabilizing factor

$$e_{a_i} = x_i - x_{a_i}$$

Φ_i : Basis function

Estimation of unknown function:

$$\hat{d}(X) = \hat{W}^T \Phi_i$$

Neuro-adaptive Design with σ Modification

Lyapunov function candidate:

$$v_i = \frac{1}{2}e_{a_i}^2 + \frac{1}{2}\tilde{W}_i^T \gamma_i^{-1} \tilde{W}_i \quad (\text{Note: } p_i = 1)$$

$$\begin{aligned} \text{Then } \dot{v}_i &= e_{a_i} \dot{e}_{a_i} + \tilde{W}_i^T \gamma_i^{-1} \dot{\tilde{W}}_i \\ &= \left(e_{a_i} \varepsilon_i - e_{a_i}^2 \right) + \sigma_i \tilde{W}_i^T \hat{W}_i \quad (\text{can be derived so, with } k_{a_i} = 1) \end{aligned}$$

Consider the last term in \dot{v}_i

$$\begin{aligned} \tilde{W}_i^T \hat{W}_i &= \frac{1}{2} \times 2 \left(\tilde{W}_i^T \hat{W}_i \right) \\ &= \frac{1}{2} \times 2 \tilde{W}_i^T \left(W_i - \tilde{W}_i \right) = \frac{1}{2} \left(2 \tilde{W}_i^T W_i - 2 \tilde{W}_i^T \tilde{W}_i \right) \end{aligned}$$

Neuro-adaptive Design with σ Modification

$$\begin{aligned}
 \text{However, } 2\tilde{W}_i^T W_i &= \tilde{W}_i^T W_i + \tilde{W}_i^T W_i \\
 &= \tilde{W}_i^T (\hat{W}_i + \tilde{W}_i) + (W_i - \hat{W}_i)^T W_i \\
 &= \tilde{W}_i^T \hat{W}_i + \tilde{W}_i^T \tilde{W}_i + W_i^T W_i - \hat{W}_i^T W_i \\
 &= \hat{W}_i^T (\tilde{W}_i - W_i) + \tilde{W}_i^T \tilde{W}_i + W_i^T W_i \\
 &= -\hat{W}_i^T \hat{W}_i + \tilde{W}_i^T \tilde{W}_i + W_i^T W_i
 \end{aligned}$$

$$\begin{aligned}
 \text{Hence, } \sigma \tilde{W}_i^T \hat{W}_i &= \sigma \frac{1}{2} \left(-\hat{W}_i^T \hat{W}_i + \tilde{W}_i^T \tilde{W}_i + W_i^T W_i - \tilde{W}_i^T \tilde{W}_i - \tilde{W}_i^T \tilde{W}_i \right) \\
 &= \frac{1}{2} \left(-\sigma \hat{W}_i^T \hat{W}_i - \sigma \tilde{W}_i^T \tilde{W}_i + \sigma W_i^T W_i \right) \\
 &\leq \frac{1}{2} \left(-\sigma \|\tilde{W}_i\|^2 - \sigma \|\hat{W}_i\|^2 + \sigma \|W_i\|^2 \right)
 \end{aligned}$$

Neuro-adaptive Design with σ Modification

Hence, the equation for \dot{v}_i becomes

$$\begin{aligned}\dot{v}_i &\leq e_{a_i} \varepsilon_i - e_{a_i}^2 - \frac{1}{2} \sigma_i \|\tilde{W}_i\|^2 - \frac{1}{2} \sigma_i \|\hat{W}_i\|^2 + \frac{1}{2} \sigma_i \|W_i\|^2 \\ &\leq \frac{e_{a_i}^2}{2} + \frac{\varepsilon_i^2}{2} - e_{a_i}^2 - \frac{1}{2} \sigma_i \|\tilde{W}_i\|^2 - \frac{1}{2} \sigma_i \|\hat{W}_i\|^2 + \frac{1}{2} \sigma_i \|W_i\|^2 \\ &\leq -\frac{e_{a_i}^2}{2} + \left(\frac{\varepsilon_i^2}{2} + \frac{1}{2} \sigma_i \|W_i\|^2 - \frac{1}{2} \sigma_i \|\tilde{W}_i\|^2 - \frac{1}{2} \sigma_i \|\hat{W}_i\|^2 \right)\end{aligned}$$

Neuro-adaptive Design with σ Modification

Defining
$$\beta_i \triangleq \frac{\varepsilon_i^2}{2} + \frac{1}{2} \sigma_i \left(\|W_i\|^2 - \|\tilde{W}_i\|^2 - \|\hat{W}_i\|^2 \right)$$

We have

$$\dot{v}_i < 0, \quad \text{whenever} \quad \frac{e_{a_i}^2}{2} > \beta_i$$

$$i.e. \quad \dot{v}_i < 0, \quad \text{whenever} \quad |e_{a_i}| > \sqrt{2\beta_i}$$

Summary: Neuro-Adaptive Design

- N-A Design: A generic model-following adaptive design for robustifying “any” nominal controller
- It is valid for both non-square and non-affine problems in general
- Extensions:
 - Robustness of output dynamics only
 - “Structured N-A design” for efficient learning

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Thanks for the Attention...!

